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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/765,659
	Filing Date	January 27, 2004
	First Named Inventor	James M. Cicchiello
	Art Unit	Unknown
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number	NORTE-514A

**ENCLOSURES** (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Certificate of Mailing and Return Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Bruce B. Brunda STETINA BRUNDA GARRED & BRUCKER
Signature	
Date	May 3, 2004

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Washington, DC 20231 on this date:			
Typed or printed name	Dawn A. Privett		
Signature		Date	May 3, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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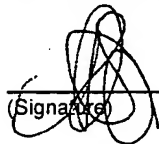
ATTORNEY DOCKET NO: NORTE-514A  
TITLE: DYNAMIC OPTICAL TAG

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1. Information Disclosure Statement;
2. Information Disclosure Statement by Applicant – Patent (of 2 page) (in duplicate);
3. Information Disclosure Statement by Applicant – Non-Patent (in duplicate);
4. Disclosure Document (of 12 pages);
5. Transmittal Form;
6. Certificate of Mailing; and
7. Return Postcard.



Attorney Docket No.: NORTE-514A  
Serial No.: 10/765,659

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: James M. Cichiello )  
Serial No.: 10/765,659 ) Art Unit: Unknown  
Filing Date: January 27, 2004 ) Examiner: Unknown  
For: DYNAMIC OPTICAL TAG )  
\_\_\_\_\_ )

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. SECTION 1.97**

Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir/Madam:

Pursuant to 37 C.F.R. § 1.97, the following Information Disclosure Statement is submitted as listed on form PTO/SB/08A & 08B enclosed herewith in duplicate. Copies of all non-patent disclosure documents are attached hereto for the Examiner's review.

No representation is made that the references disclosed herein legally constitute prior art, or that more relevant references are not available. The disclosure documents enclosed herewith and listed on the attached form (PTO/SB/08A & 08B) are printed in the English language and/or accompanied by an Abstract published in the English language.

The references listed herein, when taken alone or in combination are not believed to disclose nor make obvious the invention as claimed in the subject application.

As this Information Disclosure Statement is being submitted before the stipulated three months from the filing date of the application and/or before the mailing of a first Office Action,

it is believed that no fee is required.

If a fee is required, please charge Account Number 19-4330.

Respectfully submitted,

Dated: May 3, 2004 By: \_\_\_\_\_



Customer No. 007663

Bruce B. Brunda  
Registration No. 28,497  
STETINA BRUNDA GARRED & BRUCKER  
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Aliso Viejo, CA 92656  
(949) 855-1246

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PTO/SB/08a (08-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2**Complete if Known**

Application Number	10/765.659
Filing Date	January 27, 2004
First Named Inventor	James M. Cicchiello
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	NORTE-514A

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5686902	11/11/1997	Reis et al.	
		US- 5774876	06/30/1998	Woolley et al.	
		US- 5798693	08/25/1998	Engellenner	
		US- 5804810	09/08/1998	Woolley et al.	
		US- 5822683	10/13/1998	Paschen	
		US- 5856788	01/05/1999	Walter et al.	
		US- 5872520	02/16/1999	Seifert et al.	
		US- 5892441	04/06/1999	Woolley et al.	
		US- 5565858	10/15/1996	Guthrie	
		US- 5525993	06/11/1996	Pobanz et al.	
		US- 5627517	05/06/1997	Theimer et al.	
		US- 5629691	05/13/1997	Jain	
		US- 4109247	08/22/1978	Kaplan	
		US- 4724427	02/09/1988	Carroll	
		US- 4746830	05/24/1988	Holland	
		US- 5051741	09/24/1991	Wesby	
		US- 5602538	02/11/1997	Orthmann et al	
		US- 5640151	06/17/1997	Reis et al.	

**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

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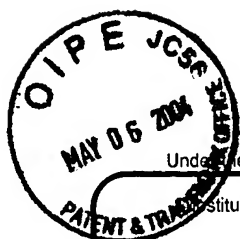
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Sheet 2 of 2

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Application Number	10/765.659
Filing Date	January 27, 2004
First Named Inventor	James M. Cicchiello
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Examiner Name	Unknown
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		US- 5486830	01/23/1996	Axline, Jr. et al.	
		US- 4885571	12/05/1989	Pauley et al.	
		US- 4897642	01/30/1990	DiLullo et al.	
		US- 4952913	08/28/1990	Pauley et al.	
		US- 4952928	08/28/1990	Carroll et al.	
		US- 5014206	05/07/1991	Scribner et al.	
		US- 5266944	11/30/1993	Carroll et al.	
		US- 5396277	03/07/1995	Gast et al.	
		US- 5629981	05/13/1997	Nerlikar	
		US- 5666647	09/09/1997	Maine	
		US- 5712899	01/27/1998	Pace, II	
		US- 5751246	05/12/1998	Hertel	
		US- 5831519	11/03/1998	Pedersen et al.	
		US- 5890068	03/30/1999	Fattouche et al.	
		US- 5894266	04/13/1999	Wood, Jr. et al.	
		US- 6329944	12/11/2001	Richardson et al.	
		US- 6049278	04/11/2000	Guthrie et al.	
		US-			

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Sheet 2 of 2

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First Named Inventor	James M. Cicchiello
Art Unit	Unknown
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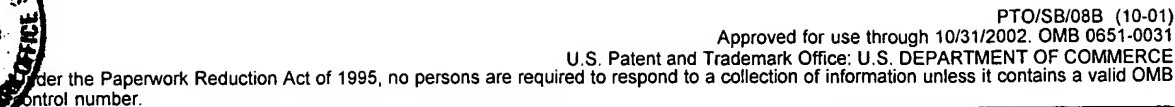
Examiner Signature	Date Considered
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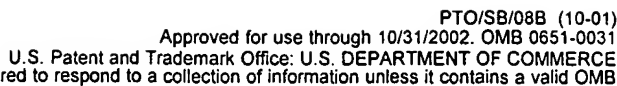
Application Number	10/765,659
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[illegible]Date  
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Substitute for form 1449B/PTO				<b>Complete if Known</b>	
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				Filing Date	January 27, 2004
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[illegible]

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